



2152 #4
03/21/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)

Douglas B. Quine

) Attorney Docket No.: F-298

Serial No.: 09/920,059

) Group Art Unit: N/A

Filed: August 1, 2001

) Date: March 5, 2002

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Technology Center 2100

For: SYSTEM AND METHOD FOR FORWARDING ELECTRONIC
MESSAGES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



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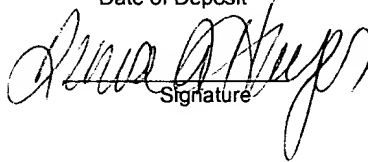
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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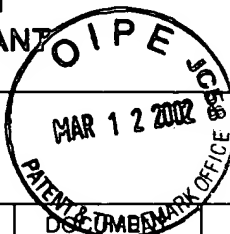
on March 5, 2002
Date of Deposit

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Name of Rep.

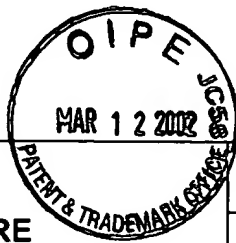

Signature

March 5, 2002
Date

Plus attachments: Form PTO-1449 (Modified)
One copy of each of the references

FORM PTO-1449 (Modified)				Atty. Docket Number F-298		Serial No. 09/920,059	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant Douglas B. Quine, et al.		RECEIVED MAR 14 2002 Technology Center 2100	
				Filing Date August 1, 2001			
<div style="text-align: center;">  U.S. PATENT DOCUMENTS </div>							
EXAMINER INITIAL		DOCKET NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5138653	08/11/92	P. LeClercq	379	96	
	AB	5281962	01/25/94	D.P. Vanden	340	825	
	AC	5283856	02/1/94	K.C. Gross	395	51	
	AD	5333152	07/26/94	J.G. Wilber	379	98	
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	AH	5406557	04/11/95	C.R. Baudoin	370	61	
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	AO	5487100	01/23/96	J.R. Kane	379	57	
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	AT	5627764	05/06/97	J.A. Schutzman	364	514	
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	AW	5822526	10/13/98	E.P. Waskiewicz	395	200	
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	AA3	5961590	10/5/99	D.J. Mendez, et al.	709	206	
	AA4	5968117	10/19/99	R.C. Schuetze	709	206	
	AA5	5978837	11/2/99	M. Foladare, et al.	709	207	9/27/96
	AA6	5987508	11/16/99	S. Agraharam, et	358	402	8/13/97

FORM PTO-1449 (Modified)				MAR 1 2 2002		Atty. Docket Number F-298		Serial No. 09/920,950			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant Douglas B. Quine, et al.							
				Filing Date August 1, 2001				MAR 1 4 2002		Group	
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	AA7	6035327	3/7/00	D. Buckley, et al.	709	206	12/8/97				
	AA8	6049291	4/11/00	D. Kikinis	340	825.44	9/9/96				
	AA9	6088720	7/11/00	P.A. Berkowitz, et	709	206	7/29/97				
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	AA11	6138146	10/24/00	B.G. Moon, et al.	709	206					
	AA12	6157945	12/5/00	P.J. Balma, et al.	709	206					
FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS											
		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATIO N				
	AL										
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)											
	AAA	✓ E Veripost, "Search Results", 5 pages, August 15, 2001									
	AAB	✓ E Veripost, "Changed Your E-mail Address", 3 pages August 20, 2001									
	AAC	✓ E Veripost, "Keep in Touch After Gruadation with Veripost", 2 pages, April 30, 2001									
	AAD	✓ E Veripost, "Have you Changed your E-mail Address", 12 pages, March 30, 2001									
	AAE	✓ Computermail.net, www.email addresses.com, "Free Email Address Directory", May 10, 2001									
	AAF	✓ Computermail.net, <u>www.emailaddress.com</u> , "Finding Email Address", May 10, 2001									
	AAG	✓ Computermail.net, <u>www.emailaddress.com</u> , "Large Email Directories", May 10, 2001									
	AAH	✓ IMarketing News, iMarketingNews.com/news/article, Jupiter Retailing Forum, "ActiveNames Is Latest Victim of Economic Slowdown, May 21-22, Chicago									
	AAI	✓ ActiveNames™, <u>www.activenames.com</u> , "About Us", January 10, 2001, 24 pages									
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	AAK	✓ Wall Street Journal, Market Place, E-World, "Many Internet Users Find Themselves Stuck at Their Old Address", by Julia Angwin, August 28, 2000									
	AAL	✓ Internet Solutions, "Email for Life", "Here's how to keep the same e-mail address through all of life's changes", Sheryl Canter, PC Magazine, March 21, 2000									



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AAM /	The Washington Post, Business, "The Download, The address you leave behind", Shannon Henry, March 15, 2001		
AAN /	Return Path, "Purelist™ and email list optimization service"		
AAO /	Return Path, "ECOATM and email change-of-address service"		
AAP /	Return Path, "Seven Techniques to Improve Email List Integrity", Tim Dolan, May 18, 2001		
AAQ /	Opt-innews™, "Return Path Grows To 90 Million Signing Brooks Brothers, Radisson & Publishers Clearinghouse", May 17, 2001		
AAR /	ReturnPath, "About Us", November 27, 2001, 11 pages		
AAS /	ReturnPath, "ECOATM Email Change-of-Address",		
AAT /	ReturnPath, "ECOATM and PureList™", May 17, 2001, 15 pages		
AAU /	ReturnPath, "SmartBounce™", What is SmartBounce?, January 10, 2001, 7 pages		
EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.			

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